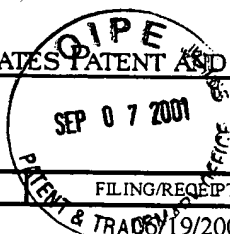




UNITED STATES PATENT AND TRADEMARK OFFICE



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/884,634	SEP 19/2001	Paul E. Newson	MSFT-0229/154793.1

27372
WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
ATTENTION: STEVEN J. ROCCI, ESQ.
ONE LIBERTY PLACE, 46TH FLOOR
PHILADELPHIA, PA 19103

RECEIVED

AUG 27 2001

Woodcock Washburn Kurtz
Mackiewicz & Norris LLP

FORMALITIES LETTER



OC000000006464271

RECEIVED

AUG 27 2001

DOCKET DEPT.
Date Mailed 08/28/2001

CONFIRMATION NO. 2651

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Aaron W. Ogus & James M. Bowell
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09/10/2001 WABRHM1 00000049 233050 09884634

01 FC:105 130.00 CH

Adjustment date: 02/14/2002 SFORD1

09/10/2001 WABRHM1 00000049 233050 09884634

01 FC:105 130.00 CR

DOCKET NO.: MSFT-0229/154793.1



PATENT

✓ Section \$ #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Newson et al.

Serial No.: 09/884,634

Group No.: not assigned

Filing Date: June 19, 2001

Examiner: assigned

For: **NETWORKING SYSTEM AND METHOD FOR THE TRANSMISSION OF RELIABLE AND NON-RELIABLE DATA IN A COMMON SLIDING WINDOW**

DATE OF DEPOSIT: 9-5-01

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

TYPED NAME: Peter M. Ullman
REGISTRATION NO.: 43,963

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application--Filing Date Granted" dated August 23, 2001, a response to which is due October 23, 2001, enclosed herewith for filing is:



A copy of the Notice to File Missing Parts.




Copies of the original **three** Combined Declarations and Powers of Attorney, executed by the inventors which were filed **with the above application on June 19, 2001 by Express Mail No. EL650275830US**. Please note the signatures of Aaron W. Ogus and James M. Bowell appear on the first of the three forms, which were filed with the application on June 19, 2001.

- ☒ Copy of Applicants' return receipt card evidencing the filing of three Combined Declarations and Powers of Attorney on June 19, 2001.
- ☐ An Associate Power of Attorney is also enclosed.
- ☐ Request for Corrected Filing Receipt.
- ☐ A substitute specification in compliance with 37 C.F.R. 1.52.
- ☐ _____ Sheets of Substitute drawings in compliance with 37 C.F.R. 1.84.
- ☐ Other: _____.
- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
- ☐ a Small Business Concern
- ☐ a Nonprofit Organization
- ☐ A check in the amount of \$ _____ is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$ _____.
- ☒ As no defect exists in applicants' previous submission, Applicants respectfully request that the surcharge of \$130 be waived. However, should applicants request be denied, the Commissioner is authorized to charge the surcharge of \$130 to Deposit Account No. 23-3050.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).

- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: 9-5-01


Peter M. Ullman
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#3
RECEIVED BY THE UNITED STATES
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Paper: Patent Application Transmittal Letter (in duplicate) (w/Express Mail Label No. EL650275830US); Specification including claims & abstract (pages 1-40); 10 sheets of formal drawings (Figs. 1-13); Three (3) *Executed* Declarations and Powers of Attorney; Associate Power of Attorney; Three (3) *Executed* Assignments and accompanying Recordation Cover Sheets; Information Disclosure Statement (in duplicate) (w/Express Mail Label No. EL650275830US); USPTO-1449 (1 page); 4 references cited/4 references submitted; Checks for \$1,272.00 application filing fee and \$120.00 recordation fee for three (3) Assignments; return postcard.

Applicant(s): Paul E. Newson, Aaron W. Ogus, Roderick M. Toll, Michael J. Narayan, Evan J. Schrier and James M. Boswell

Title: NETWORKING SYSTEM AND METHOD FOR THE TRANSMISSION OF RELIABLE AND NON-RELIABLE DATA IN A COMMON SLIDING WINDOW

Serial No.: Not Yet Assigned **Filed:** Herewith

Docket No.: MSFT-0229/154793.1

Date Sent: June 19, 2001

P. Ullman/R. Ader

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PATENT AND TRADEMARK OFFICE

SJR/mjs/
PMU (cc)

Paper: Patent Application Transmittal Letter (in duplicate) (w/Express Mail Label No. EL650275830US); Specification including claims & abstract (pages 1-40); 10 sheets of formal drawings (Figs. 1-13); Three (3) *Executed* Declarations and Powers of Attorney; Associate Power of Attorney; Three (3) *Executed* Assignments and accompanying Recordation Cover Sheets; Information Disclosure Statement (in duplicate) (w/Express Mail Label No. EL650275830US); USPTO-1449 (1 page); 4 references cited/4 references submitted; Checks for \$1,272.00 application filing fee and \$120.00 recordation fee for three (3) Assignments; return postcard.

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Serial No.: Not Yet Assigned **Filed:** Herewith

Docket No.: MSFT-0229/154793.1

Date Sent: June 19, 2001

P. Ullman/R. Ader



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